

AUG. 10. 2006 2:48PM

HITT GAINES 9724808865

NO. 5934 P. 3

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PATENT

ATTORNEY DOCKET NO.: BAO 39

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zhenan Bao
Serial No.: 10/727,709
Filed: December 4, 2003
Title: ORGANIC FIELD EFFECT TRANSISTORS WITH ACTIVE CHANNELS
FORMED OF DENSIFIED LAYERS
Group Art Unit: 2814
Examiner: Wai Sing Louie

Mail Stop 16
Director of the US Patent and
Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being furnished to the Patent and Trademark
Office (Fax No. (571) 273-8300) on March 2, 2006

Marty Bolko
(Printed or typed name of person signing the certificate)

Marty Bolko
(Signature of the person signing the certificate)

Sir:

REQUEST FOR REFUND

On December 16, 2005, Appellants filed a Pre-Appeal Brief Request for Review. On January 31, 2006, the USPTO mailed the Notice of Panel Decision from Pre-Appeal Brief Review (copy attached) which stated that the time period for filing an appeal brief will be reset to one month of mailing this decision, or the balance of the two-month time period running from the receipt of the notice of appeal, whichever is greater. On February 27, 2006, Appellants filed an Appeal Brief in response to the final rejected dated November 29, 2005. The USPTO deducted \$120 for an extension fee (copy of deposit account statement attached), however, an extension was not due since the Appeal Brief was filed prior to one month of the January 31, 2006 mailing date of the Notice of the Panel Decision from Pre-Appeal Brief Review.

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10727709
Adjustment date: 08/22/2006 ZJUHAR1
03/01/2006 TYUING 00000005 082395
01 FC:1251 120.00 CR

We therefore request that the \$120.00 which was withdrawn from the deposit account 082395 on March 1, 2006, be refunded.

Respectfully submitted,

HITT GAINES, P.C.



Ronald J. Corbett
Reg. No. 47,500

Date: March 3, 2006
Hitt Gaines, P.C.
P.O. Box 832570
Richardson, Texas 75083-2570
(972) 480-8800

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2006 AUG 14 PM 3:56

US PATENT & TRADEMARK
OFFICE

HITT GAINES, P.C.

Intellectual Property Law & Related Matters

FACSIMILE TRANSMISSION

TO: USPTO
Examiner Wai Sing Louie; Art Unit 2814

FAX NO. (571) 273-8300

FROM: Ronald J. Corbett

RE: Serial No.: 10/727,709
Attorney Docket No.: BAO 39
LUCT-125888

DATE: August 3, 2006

PAGES: 7 (including cover page)

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copy of Notice of Panel Decision from Pre-Appeal Brief Review (pp.2); and
copy of March 2006 deposit account statement (pp.1).

Mailing Address: P.O. Box 832570, Richardson, Texas 75083-2570
Street Address: Palisades Central II, 2435 North Central Expressway, Suite 1300, Richardson, Texas 75080-2753 U.S.A.
Tel: (972) 480-8800 Fax: (972) 480-8865 firm@hittgaines.com



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Examiner Wai Sing Louis; Art Unit 2814

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FROM: **Ronald J. Corbett**

RE: Serial No.: 10727,709
Attorney Document No.: BAQ 39
LUCR-125883

DATE: March 3, 2006

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Shipping Address: P.O. Box 231770, Austin, Texas 78723-2770
 Mailing Address: P.O. Box 231770, Austin, Texas 78723-2770
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NO. 5934 P. 5.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/727,709	12/04/2003	Zhenan Bao	BAO 39	6929
47394 7590 01/31/2006				
HITT GAINES, PC LUCENT TECHNOLOGIES INC. PO BOX 832570 RICHARDSON, TX 75083				
EXAMINER LOU, WAI SING				
ART UNIT		PAPER NUMBER		
2814				

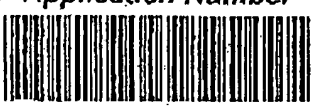
DATE MAILED: 01/31/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

DOCKET

2/28/06 Appeal Brief 2 mo
3/31/06 Appeal Brief 3 mo
4/30/06 Appeal Brief 4 mo
5/31/06 Appeal Brief 5 mo
6/30/06 Appeal Brief 6 mo
7/31/06 Appeal Brief deadline

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Application Number 	Application/Control No.	Applicant/Patent under Reexamination	
	10/727,709	BAO, ZHENAN	
	Phat Cao	Art Unit	2814
Document Code - AP, PRE, DEC			

Notice of Panel Decision from Pre-Appeal Brief Review



This is in response to the Pre-Appeal Brief Request for Review filed 12/18/05.

1. ☐ Improper Request – The Request is improper and a conference will not be held for the following reason(s):

- ☐ The Notice of Appeal has not been filed concurrent with the Pre-Appeal Brief Request.
- ☐ The request does not include reasons why a review is appropriate.
- ☐ A proposed amendment is included with the Pre-Appeal Brief request.
- ☐ Other:

The time period for filing a response continues to run from the receipt date of the Notice of Appeal or from the mail date of the last Office communication, if no Notice of Appeal has been received.

2. ☒ Proceed to Board of Patent Appeals and Interferences – A Pre-Appeal Brief conference has been held. The application remains under appeal because there is at least one actual issue for appeal. Applicant is required to submit an appeal brief in accordance with 37 CFR 41.37. The time period for filing an appeal brief will be reset to be one month from mailing this decision, or the balance of the two-month time period running from the receipt of the notice of appeal, whichever is greater. Further, the time period for filing of the appeal brief is extendible under 37 CFR 1.136 based upon the mail date of this decision or the receipt date of the notice of appeal, as applicable.

☒ The panel has determined the status of the claim(s) is as follows:

Claim(s) allowed: _____

Claim(s) objected to: _____

Claim(s) rejected: 1-11.

Claim(s) withdrawn from consideration: _____

~~3. ☐ Allowable application – A conference has been held. The rejection is withdrawn and a Notice of Allowance will be mailed. Prosecution on the merits remains closed. No further action is required by applicant at this time.~~

4. ☐ Reopen Prosecution – A conference has been held. The rejection is withdrawn and a new Office action will be mailed. No further action is required by applicant at this time.

All participants:

(1) Phat Cao.

(3) Tom Thomas.

(2) Wael Fahmy.

(4) _____.



**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month: March 2006
Deposit Account Number: 082395
Name: HITT GAINES, PC.
Attention:
Address: PO BOX 832570
City: RICHARDSON
State: TX
Zip: 75083
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
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03/01	5	10727709	BAO-39	1251	\$120.00	\$5,374.00

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